

123003

Please type a plus (+) inside this box →

+

PTO/SB/05 (03-01)

Approved for use through 10/31/2001. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	<b>4717-8300</b>	Total Pages	<b>20</b>
First Named Inventor or Application Identifier			
<b>Eric NEYRET et al.</b>			
Express Mail Label No.	<b>EV 346 810 285 US</b>		

**APPLICATION ELEMENTS**  
See MPEP chapter 600 concerning utility patent application contents.**ADDRESS TO: Commissioner for Patents**  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

- |  |  |
|--|--|
| <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17)<br/>(Submit an original and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status<br/>See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification (preferred arrangement set forth below) <b>Total Pages 17</b><br/>         -Descriptive title of the Invention<br/>         -Cross Reference to Related Applications<br/>         -Statement Regarding Fed Sponsored R&amp;D<br/>         -Reference to Sequence Listing, a table, or a computer program listing appendix.<br/>         -Background of the Invention<br/>         -Brief Summary of the Invention<br/>         -Brief Description of the Drawings (if filed)<br/>         -Detailed Description<br/>         -Claim(s)<br/>         -Abstract of the Disclosure</p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) (Fig. 1) <b>Total Sheets 1</b></p> <p>5. <input checked="" type="checkbox"/> Oath or Declaration (Unexecuted) <b>Total Pages 2</b><br/>         a. <input type="checkbox"/> Newly executed (original or copy)<br/>         b. <input type="checkbox"/> Copy from prior application (37 CFR 1.63(d))<br/>         (for continuation/divisional with Box 18 completed)<br/>         i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br/>         Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> | <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (<i>Appendix</i>)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission (<i>if applicable, all necessary</i>)<br/>         a. <input type="checkbox"/> Computer Readable Form (CRF)<br/>         b. Specification Sequence Listing on:<br/>         i. <input type="checkbox"/> CD ROM or CD-R (2 copies); or<br/>         ii. <input type="checkbox"/> Paper<br/>         c. <input type="checkbox"/> Statements verifying identity of above copies.</p> |
|--|--|

**ACCOMPANYING APPLICATION PARTS**

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(*when there is an assignee*) (*Copy attached*)
11. ☐ English Translation Document (*if applicable*)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449  
☒ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(*Should be specifically itemized*)
15. ☐ Certified copy of Priority Document(s)  
(*if foreign priority is claimed*)
16. ☐ Non-publication Request Under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of application no **PCT/FR02/02341** filed **July 4, 2002**.

Prior Application Information: Examiner: \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

**For CONTINUATION OR DIVISIONAL APPLICATIONS ONLY:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.**19. CORRESPONDENCE ADDRESS**

Customer Number or Bar Code

**CUSTOMER NO. 28765**

NAME

ADDRESS

CITY

STATE

ZIP CODE

COUNTRY

TELEPHONE

212-294-3311

FAX

212-294-4700

Name (Print/Type)

Allan A. Fanucci

Registration No.: 30,256

Signature

*Stephen Felipich (Reg. No. 33,384)*

Date

December 30, 2003



Express Mail Label No.

PTO/SB/13 (10-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**REQUEST FOR FILING A CONTINUATION OR DIVISION OF AN INTERNATIONAL APPLICATION**

Docket Number	Anticipated Classification of this Application	Prior Application Examiner	Art Unit
4717-8300	Class: Subclass:		

Address to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

This is a request for filing a continuation application under 37 CFR 1.53(b) of pending prior international application number PCT/FR02/02341, filed on July 4, 2002, for Eric NEYRET et al., entitled **METHOD FOR REDUCING FREE SURFACE ROUGHNESS OF A SEMICONDUCTOR WAFER** which designated the United States.

Note: 37 CFR 1.53(d) cannot be used to file a continuation or divisional application of an international application which has not entered the national stage.

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16 (c))	20 - 20	0	x \$ 18	\$
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	2 - 3	0	x \$86.00	\$
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			+ \$	\$
				BASIC FEE (37 CFR 1.16(a))	\$ 770.00
				Total of above Calculations =	
	Reduction by 50% for filing by small entity (Note 37 CFR 1.27)				
				Total =	\$770.00

1. Enclosed are the specification and claims.
2. ☒ The Commissioner is hereby authorized to charge any fees which may be required under 37 CFR 1.16 and 1.17, or credit any overpayment to Deposit Account No. 501-814. A duplicate of this sheet is enclosed.
3. ☐ Amend the specification by inserting before the first line the sentence: "--This application is a continuation of international application number \_\_\_\_\_ filed \_\_\_\_\_.
4. ☐ A newly executed declaration under 37 CFR 1.63 is enclosed.
5. ☒ Priority of French application number FR0108859 filed on July 4, 2001, is claimed under 35 U.S.C. 119(a)-(d).

December 30, 2003  
Date

*for* Stephan Filippek (Reg. No. 33,384)  
Allan A. Fanucci, Reg. No. 30,256

**WINSTON & STRAWN LLP**  
CUSTOMER NO. 28765

212-294-3311

EXPRESS MAIL LIST

To:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

The following items listed below are being filed herewith with the USPTO on December 30, 2003

Express Mail No. <b>EV 346 810 285 US</b>		
Attorney Docket No.	Appln. Serial No./ Patent No.	Items - Documents filed on <b>December 30, 2003</b>
4717-8300	<b>TBA</b>	Utility Patent Application Transmittal; Patent Application Fee Sheet (in duplicate); Specification 17 pages including 20 claims; Formal Drawings Fig. 1 (1 sheet); Copy of Inventors' Declaration (unexecuted); Information Disclosure Statement, PTO-1449 (11 refs.)

*Please acknowledge receipt of these items as received by returning the enclosed postcards with the date of receipt of December 30, 2003*

NY:788260.68